

**The following are the Covered Fees as of January 18, 2011.
The list is subject to change without notice.**

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| <i>Transaction Fee (Principal/Agency/Mutual Funds)</i> | \$5 |
| <i>No Load Mutual Fund Accommodation Fee (purchases only)</i> | \$50 |
| <i>Physical Certificate Issuance</i> | \$250 (minimum) standard delivery/ \$500 (minimum) rush delivery (3-5 days) |
| <i>Obtaining Physical Certification of a Foreign Security</i> | \$250 per security |
| <i>Rejection of Ineligible Physical Certificates Presented for Deposit</i> | \$75 |
| <i>Replace Certificate Lost by Client</i> | \$100 + out-of-pocket expenses |
| <i>Safekeeping Fee</i> | \$25 per position annual charge (\$250 annual maximum per account) |
| <i>Wire Transfer – Outgoing</i> | \$25 |
| <i>Returned Items (Insufficient Funds)</i> | \$20 (for Command Accounts) \$25 (for Command Business Accounts) |
| <i>Deposited/Cashed Item Chargeback</i> | \$10 |
| <i>Account Research or Document Retrieval</i> | \$15 per hour, \$5 per document (minimum of one hour) |
| <i>Outgoing Account Transfer</i> | \$95 |
| <i>IRA Termination</i> | \$95 |
| <i>U.S. Treasury Auctions</i> | \$50 |
| <i>Private Investment Fees annual per account</i> | \$200 origination, \$100 annual per position, \$500 maximum |